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U.S. Department of TransportationFederal Transit Administration

Application

Recipient ID:1749
Recipient Name:CITY OF SEATTLE
Project ID:WA-96-X002-00
Budget Number:1 - Budget Prior Approved
Project Information:09 ARRA 5307 Preventative Maint

Part 1: Recipient Information

Project Number:WA-96-X002-00
Recipient ID:1749
Recipient Name:CITY OF SEATTLE
Address:Seattle Dept of Transportation PO Box 34996, SEATTLE, WA 98124
4996
Telephone:(206) 684-5000
Facsimile:(206) 684-5081

Union Information

Recipient ID:1749
Union Name:AMALGAMATED TRANSIT UNION
Address 1:5025 Wisconsin Avenue NW
Address 2:
City:Washington, DC 20016 4139
Contact Name:Warren George
Telephone:(202) 537-1645
Facsimile:(202) 244-7824
E-mail:lwc@atu.org
Website:www.atu.org

Recipient ID:1749
Union Name:INTERNATIONAL BROTHERHOOD OF ELECTRICAL WORKERS
Address 1:900 7th St NW
Address 2:
City:Washington , DC 20001 0000
Contact Name:Edwin Hill
Telephone:(202) 833-7000
Facsimile:(202) 728-7676
E-mail:construction_department@ibew.org

Website:www.ibew.com

Recipient ID:1749

Union Name:INTERNATIONAL FEDERATION OF PROFESSIONAL AND TECHNICAL ENGINEERS

Address 1:501 3rd Street NW, Suite 701

Address 2:

City:Washington, DC 20001 0000

Contact Name:Candace Rhett

Telephone:(202) 239-4880

Facsimile:(202) 239-4881

E-mail:crhett@ifpte.org

Website:www.ifpte.org

Part 2: Project Information

Project Type:Grant

Project Number:WA-96-X002-00

Project Description:09 ARRA 5307 Preventative Maint

Recipient Type:City

FTA Project Mgr:Pat Hendrix 206-220-4465

Recipient Contact:Marion Hitchcock 206-233-0043

New/Amendment:New

Amend Reason:Initial Application

Fed Dom Asst. #:20507

Sec. of Statute:5307-4

State Appl. ID:None Specified

Start/End Date:Jan. 01, 2009 - Sep. 30, 2010

Recvd. By State:Mar. 19, 2009

EO 12372 Rev:Not Applicable

Review Date:None Specified

Planning Grant?:NO

Program Date (STIP/UPWP/FTA Prm Plan) :Mar. 19, 2009

Program Page:None Specified

Application Type:Electronic

Supp. Agreement?:Yes

Debt. Delinq. Details:

Gross Project Cost:\$1,314,011

Adjustment Amt:\$0

Total Eligible Cost:\$1,314,011

Total FTA Amt:\$1,314,011

Total State Amt:\$0

Total Local Amt:\$0

Other Federal Amt:\$0

Special Cond Amt:\$0

Special Condition:None Specified

S.C. Tgt. Date:None Specified

S.C. Eff. Date:None Specified
Est. Oblig Date:05-May-2009
Pre-Award Authority?:Yes
Fed. Debt Authority?:No
Final Budget?:No

Urbanized Areas

UZA IDUZA Name
530170SEATTLE, WA

Congressional Districts

State IDDistrict CodeDistrict Official
537Jim McDermott

Project Details

The City of Seattle is applying for \$1,314,011 of FY 09 ARRA Funds (match ratio 100/0) for Seattle Monorail Train Safety Improvements (\$1,000,000) and for South Lake Union Streetcar Preventive Maintenance (\$314,011). Total eligible costs for this project are expected to be \$1,314,011 at the indicated match ratio.

The project will renovate the Seattle Center Monorail trains including inspection and replacement, as necessary, of internal car wiring, electrical motors and car braking systems. New collision detection and prevention systems will be installed and car mechanical braking systems - lines, shoes, rotors, etc's will be replaced.

The project will also undertake South Lake Union Streetcar preventive maintenance of 2.8 miles of track and 4 track switches; traction power substations (2); overhead contact system and; 3 modern low floor light rail vehicles.

The last ECHO drawdown date for ARRA grants is September 30, 2015. However, in keeping within the spirit of this law, FTA requests that all funds be obligated within 4 months of grant obligation and progressive drawn downs over the next 18 months.

The city has confirmed that they are registered in the CCR data base. See attachment.

No ITS elements will be constructed with these funds.

These projects were included in the STIP amendment dated 3/19/2009

Earmarks

Earmark Details

Earmark ID	Earmark Name	Orig. Balance	Amount Applied
D2009-ERUF-0001	ARRA Urbanized Area Formula	\$5,967,852,039	\$1,314,011

Number of Earmarks: 1

Total Amount Applied: \$1,314,011

Date Sent for Release: 4/24/2009 4:22:23 PM

Date Released: 5/20/2009

Security

No - We will not expend at least 1% of the 5307 funds in this grant application for security purposes.

1. No Deficiency found from threat/vulnerability assessment.

Grant applicant has conducted a threat and vulnerability assessment and finds there are no deficiencies that require additional investment in security projects at this time. [The threat and vulnerability assessment is No.8 in Top 20 Security Action list at

<http://transit-safety.volpe.dot.gov/security/SecurityInitiatives/Top20/default.asp>]

3. Other, please describe below.

Part 3: Budget

Project Budget	Quantity	FTA Amount	Tot. Elig. Cost
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SCOPE

127-00 OTHER CAPITAL ITEMS (RAIL)	2	\$1,314,011.00	\$1,314,011.00
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ACTIVITY

12.7A.00 PREVENTIVE MAINTENANCE (RAIL)(FY09			
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ARRA)(100/0)	0	\$314,011.00	\$314,011.00
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12.17.00 VEH OVERHAUL (UP TO 20% VEH MAINT)(FY 09			
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ARRA)100/0)	2	\$1,000,000.00	\$1,000,000.00
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Estimated Total Eligible Cost:\$1,314,011.00

Federal Share:\$1,314,011.00

Local Share:\$0.00

OTHER (Scopes and Activities not included in Project Budget Totals)

None

SOURCES OF FEDERAL FINANCIAL ASSISTANCE

UZA ID	Accounting Classification	FPC	FY	SEC	Previously Approved	Amendment Amount	Total
5301702009	.26.96.91.100200996	\$0.00	\$1,314,011.00	\$1,314,011.00			

Total Previously Approved:\$0.00

Total Amendment Amount:\$1,314,011.00

Total from all Funding Sources:\$1,314,011.00

Alternative Fuel Codes

Extended Budget Descriptions

12.7A.00PREVENTIVE MAINTENANCE (RAIL)(FY09
ARRA)(100/0)0\$314,011.00\$314,011.00

INITIAL APPLICATION

Funding includes \$314,011 of FY 09 ARRA; match ratio is 100/0.

Total eligible costs for this phase of the project are expected to be \$314,011.

South Lake Union Streetcar Preventive Maintenance; STIP #130; most recently approved 3/19/2009.

12.17.00VEH OVERHAUL (UP TO 20% VEH MAINT)(FY 09
ARRA)100/0)2\$1,000,000.00\$1,000,000.00

INITIAL APPLICATION

Funding includes \$1,000,000 of FY 09 ARRA; match ratio is 100/0.

Total eligible costs for this phase of the project are expected to be \$1,000,000.

Seattle Monorail Train Safety Improvements; STIP #117; most recently approved 3/19/2009.

Changes since the Prior Budget

Unable to find change amount information.

Part 4. Milestones

12.7A.00PREVENTIVE MAINTENANCE (RAIL)(FY09 ARRA)(100/0)0\$314,011\$314,011

Milestone DescriptionEst. Comp. Date

1.Preventive MaintenanceDec. 31, 2009

Preventive maintenance of the SLU Streetcar line to include 2.8 miles of track and 4 track switches; traction power substations (2); overhead contact system and ; 3 modern low floor light rail vehicles.

12.17.00VEH OVERHAUL (UP TO 20% VEH MAINT)(FY 09
ARRA)100/0)2\$1,000,000\$1,000,000

Milestone DescriptionEst. Comp. Date

1.RFP/IFB IssuedMar. 31, 2009

2.Contract AwardJun. 30, 2010

Internal car wiring, electrical motors and car braking systems will be inspected and replaced as necessary. New collision detection and prevention systems will be installed and car mechanical braking systems - lines, shoes, rotors, etc. will be replaced.

3.Contract CompleteSep. 30, 2010

Part 5. Environmental Findings

127A00 PREVENTIVE MAINTENANCE (RAIL)(FY09 ARRA)(100/0)0\$314,011\$314,011

Finding No. 1 - Class II(c)

C18 - Track & railbed maintenance/improvements

Track and railbed maintenance and improvements when carried out within the existing right-of-way.

121700 VEH OVERHAUL (UP TO 20% VEH MAINT)(FY 09
ARRA)100/0)2\$1,000,000\$1,000,000

Finding No. 1 - Class II(c)

C14 - Bus & rail car rehabilitation

Bus and rail car rehabilitation.

Finding Details:Work on this element consists totally of rehabilitation of existing rail cars in a closed environment.

Part 6: Fleet Status

No information found.

Part 7. FTA Comments

General Review

Comment Title:Grant Review lts 3-16-09
Comment By:Patricia C Hendrix
Date Created:Mar. 16, 2009
Date Updated:None Specified
Ref Section:Unknown
Comment:

From: Hendrix, Patricia
Sent: Monday, March 16, 2009 12:18 PM
To: 'Marion Hitchcock'
Subject: WA96-x002 ARRA REview ltr

Date: March 26, 2009
From: Pat Hendrix

Re: Grantee: City of Seattle
Preliminary TEAM Comments
Application No: WA-96-X002
Project Purpose: ARRA Funds South Lake Union Circulator Preventative
Maintenance & Monorail Safety.

FTA has completed a preliminary review of the application. Please
note/revise accordingly

A. RECIPIENT INFORMATION

1. DUNS number - no comments
2. Labor Unions - DOL will send out union requests via email. Be sure your union addresses include an email address for the contact.
3. Certifications and Assurances - no comments.
4. Update of contact information - please update any changes in your contacts in TEAM.
5. Civil Rights - Thanks for attaching your CR documents in accordance with the nomenclature in our Bulletin 07-54.

B. PROJECT INFORMATION

1. STIP Key Identifier and Date - Update this date when the ARRA grants have been included in the STIP.
2. Preaward Authority - no comments.
3. Supplemental Agreement: FTA changed this to YES as 5307 rules apply

to this grant.

4. Control Total - no comment.
5. Estimated Obligation Date - no comment.
6. State/End date - FTA extended please concur.
7. Project Details - Are there any ITS costs in this project funded by this grant? If yes please include the appropriate verbage. Include the appropriate STIP dates when they are known.
8. Security Tab - When you include the monorail portion make sure this "no" comment is still applicable ?

C. BUDGET

1. Budget Details - no comment.
2. User Descriptions - no comment.

D. MILESTONES

1. no comment

E. ENVIRONMENTAL FINDINGS

1. no comment

F. OTHER ELEMENTS.

DOL checklist. Please answer the questions below and email back to the reviewer. FTA is required to provide this section to DOL and your assistance will speed the process. It's not necessary to send it in a separate document. Thanks.

DOL APPLICATION CHECKLIST

In order to certify appropriate employee protections, DOL must have a precise understanding of the project activities, budget line items, and the identity and relationships of subrecipients under the grant. Providing clear answers to the following questions will help ensure timely processing of the application.

1. Who is receiving the funds? The applicant, (i.e. recipient) and subrecipient(s) of funds must be clearly defined.
2. What is the amount and type of funding to be awarded? Indicate whether funding is for operating or capital assistance. Identify how much is being provided to the recipient (applicant) and to each subrecipient.
3. What activities will be undertaken with the funds? Provide a complete project description of the activities to be carried out under the project (i.e. what type of service will be provided, what will be purchased or built, how many items will be purchased).
4. Where will the project be carried out? Identify the location of the project in the geographic area, including jurisdictional boundaries such as counties or cities.

5. What service provider will carry out the project? If the applicant is not providing all of the service, identify subrecipients or contractors if known at the time of application.
6. What other transit providers are in the service area? Identify all transit providers who compete with, feed into, or provide service adjacent to the funded service or capital project.
7. What labor organizations represent employees of the recipient, the subrecipients, and other transit providers in the service area? Labor unions representing employees of the grantee and other service providers must be identified and contact information provided (see #9 below). Include this information in the grantee profile section in TEAM. The grantee is required to update this information as necessary and to verify it before submitting the application.
8. For Job Access and Reverse Commute grants, what is the population to be served by the grant? Indicate in the project budget the size of the population being served.
9. Have you provided contact information (name, address, phone, fax number)? This must be provided for the applicant, (i.e. recipients) subrecipient(s) as well as unions (which are not national unions) representing employees of the grant recipient and any other transit providers (identified in #6 & #7 above).

Comment Title:Grantee Response 3-18-09

Comment By:Patricia C Hendrix

Date Created:Mar. 19, 2009

Date Updated:None Specified

Ref Section:Unknown

Comment:

From: Marion Hitchcock [mailto:Marion.Hitchcock@Seattle.Gov]

Sent: Wednesday, March 18, 2009 9:38 AM

To: Hendrix, Patricia

Subject: Re: WA96-x002 ARRA grant review letter

Pat, please see my responses below.

>>> 3/16/2009 12:20 PM >>>

Date: March 26, 2009

From: Pat Hendrix

Re: Grantee: City of Seattle

Preliminary TEAM Comments

Application No: WA-96-X002

Project Purpose: ARRA Funds South Lake Union Circulator Preventative Maintenance & Monorail Safety.

FTA has completed a preliminary review of the application. Please note/revise accordingly

A. RECIPIENT INFORMATION

1. DUNS number - no comments
2. Labor Unions - DOL will send out union requests via email. Be sure your union addresses include an email address for the contact.
3. Certifications and Assurances - no comments.
4. Update of contact information - please update any changes in your contacts in TEAM.
5. Civil Rights - Thanks for attaching your CR documents in accordance with the nomenclature in our Bulletin 07-54.

B. PROJECT INFORMATION

1. STIP Key Identifier and Date - Update this date when the ARRA grants have been included in the STIP.
2. Preaward Authority - no comments.
3. Supplemental Agreement: FTA changed this to YES as 5307 rules apply to this grant.
4. Control Total - no comment.
5. Estimated Obligation Date - no comment.
6. State/End date - FTA extended please concur. Concur
7. Project Details - These grants are 100% federal, not match is required. Please reflect as such in the budget. Match Ratio Changed Are there any ITS costs in this project funded by this grant? If yes please include the appropriate verbage. Include the appropriate STIP dates when they are known.
8. Security Tab - When you include the monorail portion make sure this "no" comment is still applicable ? Category changed

C. BUDGET

1. Budget Details - These grants are 100% federal, not match is required. Please reflect as such in the budget. . Match Ratio Changed
2. User Descriptions - These grants are 100% federal, not match is required. Please reflect as such in the budget. . Match Ratio Changed

D. MILESTONES

1. no comment

E. ENVIRONMENTAL FINDINGS

1. no comment

F. OTHER ELEMENTS.

DOL checklist. Please answer the questions below and email back to the reviewer. FTA is required to provide this section to DOL and your

assistance will speed the process. It's not necessary to send it in a separate document. Thanks.

DOL APPLICATION CHECKLIST

In order to certify appropriate employee protections, DOL must have a precise understanding of the project activities, budget line items, and the identity and relationships of subrecipients under the grant. Providing clear answers to the following questions will help ensure timely processing of the application.

1. Who is receiving the funds? The applicant, (i.e. recipient) and subrecipient(s) of funds must be clearly defined.

Recipient: City of Seattle, Seattle, WA
There are no subrecipients.

2. What is the amount and type of funding to be awarded? Indicate whether funding is for operating or capital assistance. Identify how much is being provided to the recipient (applicant) and to each subrecipient.

Funding: \$1,314,011 Total (\$1,314,011 FTA 5307 ARRA funding)
Funding is for capital assistance.

3.

4. What activities will be undertaken with the funds? Provide a complete project description of the activities to be carried out under the project (i.e. what type of service will be provided, what will be purchased or built, how many items will be purchased).

5.

6. Activities: Monorail car restoration - electrical, structural and safety mechanisms and SLU Streetcar preventive maintenance. Specific purchases and quantities are still being calculated.

7. Where will the project be carried out? Identify the location of the project in the geographic area, including jurisdictional boundaries such as counties or cities.

8.

9. Monorail - The project will be carried out completely with the City of Seattle on 5th Ave (Downtown) between the Seattle Center and Westlake Center.

10.

11. Streetcar - Seattle, WA Westlake Avenue between Olive Way and Thomas Street and Terry Avenue between Thomas and Valley Streets.

12. What service provider will carry out the project? If the applicant is not providing all of the service, identify subrecipients or contractors if known at the time of application.

13.

14. Monorail -The City of Seattle will carry out the project and is responsible for all contracting.

15.

16. Streetcar - King County Metro will carry out the preventive maintenance.

17. What other transit providers are in the service area? Identify all transit providers who compete with, feed into, or provide service adjacent to the funded service or capital project.

18.

19. Other public transit providers: King County Metro (1731), Sound Transit (5656) and Community Transit (2578.)

20.

21. What labor organizations represent employees of the recipient, the subrecipients, and other transit providers in the service area? Labor unions representing employees of the grantee and other service providers must be identified and contact information provided (see #9 below). Include this information in the grantee profile section in TEAM. The grantee is required to update this information as necessary and to verify it before submitting the application.

22.

Amalgamated Transit Union (ATU)

5025 Wisconsin Avenue NW

Washington, DC 20016-4139

Warren George

Phone: 202-537-1645

Fax: 202-244-7824

E-mail: lwc@atu.org

International Brotherhood of Electrical Workers

900 7th Street NW

Washington, DC 20001

Edwin Hill

Phone: 202-833-7000

Fax: 202-728-7676

E-mail: construction department@ibew.org

International Federation of Professional and Technical Engineers

501 3rd Street NW, Suite 701

Washington, DC 20001

Candace Rhett

Phone: 202-239-4880

Fax: 202-239-4881

E-mail: crhett@ifpte.org

23.

24. For Job Access and Reverse Commute grants, what is the population to be served by the grant? Indicate in the project budget the size of the population being served.

25.

26. Not applicable.

27. Have you provided contact information (name, address, phone, fax

number)? This must be provided for the applicant, (i.e. recipients) subrecipient(s) as well as unions (which are not national unions) representing employees of the grant recipient and any other transit providers (identified in #6 & #7 above).

Contacts: There are no subrecipients.

The recipient contact:

Marion Hitchcock
700 5th Ave - Suite 3900
P.O. Box 34996
Seattle, WA 98124-4996
Phone: 206-233-0043
Fax: 206-684-3635
E-mail: marion.hitchcock@seattle.gov

Other transit providers:

King County Metro
Ron Posthuma
201 S. Jackson St.
Seattle, WA 98104
Phone: 206-684-1007
Fax: 206-684-2129
E-mail: ron.posthuma@metrokc.gov

Sound Transit
Lisa Wolterink
401 S. Jackson St.
Seattle, WA 98104
Phone: 206-689-3359
Fax: 206-689-3360
E-mail: lisa.wolterink@soundtransit.org

Community Transit
Melissa Cauley
7100 Hardeson Rd.
Everett, WA 98203
Phone: 425-348-7100
E-mail: melissa.cauley@commtrans.org

Comment Title:CCR confirmation 4-23-09
Comment By:Patricia C Hendrix
Date Created:Apr. 23, 2009
Date Updated:None Specified
Ref Section:Unknown
Comment:

From: Marion Hitchcock [mailto:Marion.Hitchcock@Seattle.Gov]
Sent: Thursday, April 23, 2009 3:24 PM
To: Hendrix, Patricia
Subject: Re: ARRA Grant

Pat, yes the City is registered (see attached).

>>> 4/23/2009 9:23 AM >>>

It just came back from DOL and we now have to send it through the release process. However I need your assurance on the following requirement. Has the city registered under the CCR?

13) Question: Who is required to register in the Central Contractor Registration (CCR)?

Answer: Since October 1, 2003, it is federally mandated that any organization wishing to do business with the federal government under a FAR-based contract must be registered in CCR before being awarded a contract. Under ARRA all recipients, sub-recipients, and contractors must have DUNS and CCR registration. You can find more information on CCR and the registration process in their User's Guide.

Conditions of Award

Comment Title:ARRA COA

Comment By:Patricia C Hendrix

Date Created:Apr. 23, 2009

Date Updated:None Specified

Ref Section:Unknown

Comment:The Recipient agrees that the following provisions apply to American Recovery and Reinvestment Act of 2009 (ARRA) assistance authorized under Pub. L. 111-5, February 17, 2009, and agrees to comply with the requirements thereof, except to the extent FTA determines otherwise in writing:

a. One-Time Funding. The Recipient acknowledges that receipt of ARRA funds is a "one-time" disbursement that does not create any future obligation by the FTA to advance similar funding amounts.

b. Integrity. The Recipient agrees that all data it submits to FTA in compliance with ARRA requirements will be accurate, objective, and of the highest integrity.

c. Violations of Law. The Recipient agrees that it and its subrecipients shall report any credible evidence that a principal, employee, agent, contractor, subrecipient, subcontractor, or other person has submitted a false claim under the False Claims Act or has committed a criminal or civil violation of law pertaining to fraud, conflict of interest, bribery,

gratuity, or similar misconduct involving ARRA funds.

d. Maintenance of Effort. A Recipient that is a State agrees to comply with the maintenance of effort certification it has made in compliance with Section 1201 of ARRA.

e. Emblems. The Recipient agrees to identify projects supported by FTA by attaching the appropriate emblems as the Federal Government may require.

f. Reporting Requirements. In addition to other Federal reporting requirements applicable to the type of project undertaken, the Recipient agrees to

(1) Comply with the reporting requirements of ARRA, Section 1201(c) and (f).

(2) Comply with reporting requirements and deadlines of ARRA, Section 1512. Therefore, the Recipient report on the use of the funds and on the status of compliance with the National Environmental Policy Act by submitting the SF-PPR-Recovery form not later than 10 days after the end of each calendar quarter to FTA. The Recipients agrees to obtain a Dun and Bradstreet Universal Numbering System (DUNS) number (www.dnb.com) for any first tier subrecipient that does not have a DUNS number, and agrees to maintain, and require its first tier subrecipients to maintain, active and current profiles in the Central Contractor Registration (www.ccr.gov).

g. Further Requirements. The Recipient agrees to comply with applicable future Federal requirements that may be imposed on the use of ARRA funds.

Comment Title:Match

Comment By:Patricia C Hendrix

Date Created:Mar. 16, 2009

Date Updated:None Specified

Ref Section:Unknown

Comment:d. The federal/non-federal matching funds ratio for ARRA funds is 100/0 for construction.

Comment Title:Program Income

Comment By:Patricia C Hendrix

Date Created:Mar. 16, 2009

Date Updated:None Specified

Ref Section:Unknown

Comment:a. Any income generated from grant activities will be used only for transit purposes.

Comment Title:DOL

Comment By:Patricia C Hendrix

Date Created:Mar. 16, 2009

Date Updated:Mar. 16, 2009

Ref Section:Unknown

Comment:a. This grant is subject to conditions, if any, contained in the

Department of Labor's Certification of compliance with section 533 for this grant.

Comments to DOL

Comment Title:DOL Letter 3-19-09
Comment By:Patricia C Hendrix
Date Created:Mar. 19, 2009
Date Updated:None Specified
Ref Section:Unknown
Comment:March 19, 2009

To: Department of Labor
ATTN: Jay Flanagan

From: Pat Hendrix
FTA, Region 10, Seattle, WA
206 220-4465
Patricia.hendrix@dot.gov

RE: DOL Section 5333(b) Certification
City of Seattle
Seattle, Washington
2009 ARRA Preventative Maintenance
GRANT NO: WA96-X002

The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant amendment application. FTA requests that DOL complete its referral and review by April 19, 2009. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the Grant.

1. Who is receiving the funds? The applicant, (i.e. recipient) and subrecipient(s) of funds must be clearly defined.

Recipient: City of Seattle, Seattle, WA
There are no subrecipients.

2. What is the amount and type of funding to be awarded? Indicate whether funding is for operating or capital assistance. Identify how much is being provided to the recipient (applicant) and to each subrecipient.

Funding: \$1,314,011 Total (\$1,314,011 FTA 5307 ARRA funding)
Funding is for capital assistance.

3. What activities will be undertaken with the funds? Provide a complete project description of the activities to be carried out under the project (i.e. what type of service will be provided, what will be purchased or built, how many items will be purchased).

Activities: Monorail car restoration - electrical, structural and safety mechanisms and SLU Streetcar preventive maintenance. Specific purchases and quantities are still being calculated.

4. Where will the project be carried out? Identify the location of the project in the geographic area, including jurisdictional boundaries such as counties or cities.

Monorail - The project will be carried out at the monorail base in Seattle on 5th Ave (Downtown) between the Seattle Center and Westlake Center.

Streetcar - Seattle, WA Westlake Avenue between Olive Way and Thomas Street and Terry Avenue between Thomas and Valley Streets.

5. What service provider will carry out the project? If the applicant is not providing all of the service, identify subrecipients or contractors if known at the time of application.

Monorail -The City of Seattle will carry out the project and is responsible for all contracting.

Streetcar - King County Metro will carry out the preventive maintenance.

6. What other transit providers are in the service area? Identify all transit providers who compete with, feed into, or provide service adjacent to the funded service or capital project.

Other public transit providers: King County Metro (1731), Sound Transit (5656) and Community Transit (2578.)

7. What labor organizations represent employees of the recipient, the subrecipients, and other transit providers in the service area? Labor unions representing employees of the grantee and other service providers must be identified and contact information provided (see #9 below). Include this information in the grantee profile section in TEAM. The grantee is required to update this information as necessary and to verify it before submitting the application.

See this information in the TEAM system under the IDs of 1731, 5656, 2578, and 1749

8. For Job Access and Reverse Commute grants, what is the population to be served by the grant? Indicate in the project budget the size of the population being served.

Not applicable.

9. Have you provided contact information (name, address, phone, fax number)? This must be provided for the applicant, (i.e. recipients)

subrecipient(s) as well as unions (which are not national unions) representing employees of the grant recipient and any other transit providers (identified in #6 & #7 above).

Contacts: There are no subrecipients.

The recipient contact:

Marion Hitchcock
700 5th Ave - Suite 3900
P.O. Box 34996
Seattle, WA 98124-4996
Phone: 206-233-0043
Fax: 206-684-3635
E-mail: marion.hitchcock@seattle.gov

Other transit providers:

King County Metro
Ron Posthuma
201 S. Jackson St.
Seattle, WA 98104
Phone: 206-684-1007
Fax: 206-684-2129
E-mail: ron.posthuma@metrokc.gov

Sound Transit
Lisa Wolterink
401 S. Jackson St.
Seattle, WA 98104
Phone: 206-689-3359
Fax: 206-689-3360
E-mail: lisa.wolterink@soundtransit.org

Community Transit
Melissa Cauley
7100 Hardeson Rd.
Everett, WA 98203
Phone: 425-348-7100
E-mail: melissa.cauley@commtrans.org

Comment Title:DOL receipt 3-23-09

Comment By:Patricia C Hendrix

Date Created:Apr. 23, 2009

Date Updated:None Specified

Ref Section:Unknown

Comment:

-----Original Message-----

From: Yvette Shelton [mailto:shelton.yvette@dol.gov]
Sent: Monday, March 23, 2009 5:05 AM
To: DOLTRO10, FTA
Cc: OLMS-TransitGrant@dol.gov
Subject: Project: WA-96-X002 for Seattle, City of - RECEIVED

Project number WA-96-X002 for Seattle, City of has been received on 03/23/2009 and assigned to Jay . Flanagan who can be reached by phone at (202) 693-1231 or by e-mail at flanagan.jay@dol.gov.

Comment Title:DOL Cert 4-23-09
Comment By:Patricia C Hendrix
Date Created:Apr. 23, 2009
Date Updated:None Specified
Ref Section:Unknown
Comment:From: Sier, Elizabeth
Sent: Thursday, April 23, 2009 6:53 AM
To: Hendrix, Patricia
Subject: : Project: WA-96-X002 for Seattle, City of - CERTIFIED

From: Trendanaia Williams [mailto:williams.trendanaia@dol.gov]
Sent: Thursday, April 23, 2009 5:57 AM
To: DOLTRO10, FTA
Cc: OLMS-TransitGrant@dol.gov
Subject: Project: WA-96-X002 for Seattle, City of - CERTIFIED

Project number WA-96-X002 for Seattle, City of has been certified on 04/22/2009. The Project Representative is Jay Flanagan who can be reached by phone at (202) 693-1231 or by e-mail at flanagan.jay@dol.gov.

Comment Title:Email Ack 3-20-09
Comment By:Patricia C Hendrix
Date Created:Mar. 20, 2009
Date Updated:None Specified
Ref Section:Unknown
Comment:

-----Original Message-----

From: TeamWeb@dot.gov [mailto:TeamWeb@dot.gov]
Sent: Friday, March 20, 2009 4:32 PM
To: OLMS-TransitGrant@dol.gov
Cc: Hendrix, Patricia
Subject: WA-96-X002-00 - Submission of FTA Application to DOL for Certification

Attached to this e-mail message is the Submitted Application for Project Number: WA-96-X002-00 for Review and Certification by the Department of Labor.

*** Please note that this is a system generated email notice. Please do not reply to this email. ***

Part 8: Results of Reviews

The reviewer did not find any errors

Part 9: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT

(FTA G-15, October 1, 2008)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official's electronic signature is entered for this Grant Agreement, FTA has Awarded Federal assistance in support of the Project described below. Upon Execution of this Grant Agreement by the Grantee named below, the Grantee affirms this FTA Award, and enters into this Grant Agreement with FTA. The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) \"Federal Transit Administration Master Agreement,\" FTA MA(15), October 1, 2008,
<http://www.fta.dot.gov/documents/15-Master.pdf>
- (2) The Certifications and Assurances applicable to the Project that the Grantee has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE GRANTEE DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING THE DATE OF THIS FTA AWARD SET FORTH HEREIN.

FTA AWARD

FTA hereby awards a Federal grant as follows:

Project No: WA-96-X002-00

Grantee: CITY OF SEATTLE

Citation of Statute(s) Authorizing Project: 49 USC 5307 - Urbanized Area

Formula - Economic Recovery

Estimated Total Eligible Cost (in U.S. Dollars): \$1,314,011

Maximum FTA Amount Awarded [Including All Amendments] (in U.S. Dollars):
\$1,314,011

Amount of This FTA Award (in U.S. Dollars): \$1,314,011

Maximum Percentage(s) of FTA Participation:

Percentages of Federal participation are based on amounts included in the Approved Project Budget, modified as set forth in the text following the Project Description.

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Project Certification Date: 4/22/2009

Project Description:

09 ARRA 5307 Preventative Maint

The Project Description includes information describing the Project within the Project Application submitted to FTA, and the Approved Project Budget, modified by any additional statements displayed in this Grant Agreement, and, to the extent FTA concurs, statements in other documents including Attachments entered into TEAM-Web.

a. This grant is subject to conditions, if any, contained in the Department of Labor's Certification of compliance with section 533 for this grant.

d. The federal/non-federal matching funds ratio for ARRA funds is 100/0 for construction.

a. Any income generated from grant activities will be used only for transit purposes.

The Recipient agrees that the following provisions apply to American Recovery and Reinvestment Act of 2009 (ARRA) assistance authorized under Pub. L. 111-5, February 17, 2009, and agrees to comply with the requirements thereof, except to the extent FTA determines otherwise in writing:

a. One-Time Funding. The Recipient acknowledges that receipt of ARRA funds is a "one-time" disbursement that does not create any future obligation by the FTA to advance similar funding amounts.

b. Integrity. The Recipient agrees that all data it submits to FTA in compliance with ARRA requirements will be accurate, objective, and of the highest integrity.

c. Violations of Law. The Recipient agrees that it and its subrecipients shall report any credible evidence that a principal, employee, agent, contractor, subrecipient, subcontractor, or other person has submitted a false claim under

the False Claims Act or has committed a criminal or civil violation of law pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving ARRA funds.

d. Maintenance of Effort. A Recipient that is a State agrees to comply with the maintenance of effort certification it has made in compliance with Section 1201 of ARRA.

e. Emblems. The Recipient agrees to identify projects supported by FTA by attaching the appropriate emblems as the Federal Government may require.

f. Reporting Requirements. In addition to other Federal reporting requirements applicable to the type of project undertaken, the Recipient agrees to (1) Comply with the reporting requirements of ARRA, Section 1201(c) and (f). (2) Comply with reporting requirements and deadlines of ARRA, Section 1512. Therefore, the Recipient report on the use of the funds and on the status of compliance with the National Environmental Policy Act by submitting the SF-PPR-Recovery form not later than 10 days after the end of each calendar quarter to FTA. The Recipients agrees to obtain a Dun and Bradstreet Universal Numbering System (DUNS) number (www.dnb.com) for any first tier subrecipient that does not have a DUNS number, and agrees to maintain, and require its first tier subrecipients to maintain, active and current profiles in the Central Contractor Registration (www.ccr.gov).

g. Further Requirements. The Recipient agrees to comply with applicable future Federal requirements that may be imposed on the use of ARRA funds.

Awarded By:

R.F. Krochalis

Regional Administrator

FEDERAL TRANSIT ADMINISTRATION

U.S. DEPARTMENT OF TRANSPORTATION

05/20/2009

EXECUTION OF GRANT AGREEMENT

The Grantee, by executing this Grant Agreement, affirms this FTA Award; adopts and ratifies all statements, representations, warranties, covenants, and materials it has submitted to FTA; consents to this FTA Award; and agrees to all terms and conditions set forth in this Grant Agreement.

By executing this Grant Agreement, I am simultaneously executing any Supplemental Agreement that may be required to effectuate this Grant Agreement.

Executed by:

Ms. Grace Crunican
Director
CITY OF SEATTLE
05/26/2009

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UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

SUPPLEMENTAL AGREEMENT

(Attachment to FTA G-15, October 1, 2008)

It is the practice of the Federal Transit Administration to enter into a formal agreement with the Designated Recipient for projects that the Designated Recipient does not carry out directly. Under this Grant Agreement, the Grant Recipient is not the Designated Recipient. Therefore, the Designated Recipient hereby agrees to permit the Grant Recipient under this Grant Agreement to receive and dispense the Federal assistance funds described in this Grant Agreement. The Designated Recipient further agrees that the Grant Recipient shall assume all responsibilities set forth in this Grant Agreement.

The Federal Government and the Grantee under this Grant Agreement hereby agree that the Designated Recipient is not in any manner subject to or responsible for the terms and conditions of this Grant Agreement and is a party to this Grant Agreement only to assign the right to receive and dispense Federal funds to the Grantee as described above.

FTA Official:
R.F. Krochalis
Regional Administrator
FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION

Designated Recipient:
Frank Tordillos
Grants Supervisor
KING COUNTY DEPARTMENT OF TRANSPORTATION

Grant Recipient:
Ms. Grace Crunican
Director
CITY OF SEATTLE
05/26/2009

WA-96-X002-00 Quarterly Narrative Report
Apr. 01, 2009 through Jun. 30, 2009
As Of Sep. 24, 2009
MS/P Report Submitted , FSR Submitted

Part 1: Recipient Information

Project Number:WA-96-X002-00
Recipient ID:1749
Recipient Name:CITY OF SEATTLE
Address:Seattle Dept of Transportation PO Box 34996, SEATTLE, WA 98124
4996
Telephone:(206) 684-5000
Facsimile:(206) 684-5081

Part 2: Project Information

Project No:WA-96-X002-00
Brief Desc:09 ARRA 5307 Preventative Maint
FTA Project Mgr:Pat Hendrix 206-220-4465
Start/End Date:Jan. 01, 2009 - Sep. 30, 2010
Gross Project Cost:\$1,314,011
Adjustment Amt:\$0
Total Eligible Cost:\$1,314,011
Total FTA Amt:\$1,314,011
Total State Amt:\$0
Total Local Amt:\$0
Other Federal Amt:\$0

Part 3: Financial Status Report

Financial Status

	Previous	This Period	Cumulative
A. Total Outlays	\$0	\$0	\$0
B. Recipient Share of Outlays	\$0	\$0	\$0
C. Federal Share of Outlays	\$0	\$0	\$0

D. Total Unliquidated Obligations \$314,011
E. Recipient Share of Unliq. Obligations \$0
F. Federal Share of Unliq. Obligations \$314,011
G. Total Federal Share (C + F) \$314,011
H. Total Federal Funds \$1,314,011
I. Unobl. Bal. of Fed. Funds (H - G) \$1,000,000

Cost Plan

Type of RateN/A
Rate0.00%
Base\$0
Total Amount\$0
Federal Amount\$0

FTA Comments
Reviewed 8/13/09.

Part 4. Milestone/Progress Report

Project Status Overview

Through June 2009: SLU Streetcar operations, including preventive maintenance is ongoing.

RFP issued for materials portion of Monorail Power Conductor Rail Replacement project.

Through March 2009: SLU Streetcar preventive maintenance is ongoing.

A scope of work was developed for the renovation of the Seattle Center Monorail.

Quantity	FTA Amount	Elig. Proj. Cost
12.7A.00	PREVENTIVE MAINTENANCE (RAIL)(FY09 ARRA)(100/0)	0
\$314,011	\$314,011	

Milestone Description	Orig. Est. Comp. Date	Rev. Est. Comp. Date	# Rev	Actual
Comp. Date	Cont. Code			

1. Preventive Maintenance	12/31/2009			
DETAILED DESCRIPTION: Preventive maintenance of the SLU Streetcar line to include 2.8 miles of track and 4 track switches; traction power substations (2); overhead contact system and ; 3 modern low floor light rail vehicles.				
PROGRESS: Through March 2009: SLU Streetcar preventive maintenance is ongoing.				

Quantity	FTA Amount	Elig. Proj. Cost
12.17.00	VEH OVERHAUL (UP TO 20% VEH MAINT)(FY 09 ARRA)100/0)	2
\$1,000,000	\$1,000,000	

Milestone	Description	Orig. Est.	Comp. Date	Rev. Est.	Comp. Date	# Rev	Actual
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1. Contract Award 6/30/2010

DETAILED DESCRIPTION: Internal car wiring, electrical motors and car braking systems will be inspected and replaced as necessary. New collision detection and prevention systems will be installed and car mechanical braking systems - lines, shoes, rotors, etc. will be replaced.

2. Contract Complete 9/30/2010

3. RFP/IFB Issued 3/31/2009 8/31/2009 1

PROGRESS: Through March 2009: A scope of work was developed for the renovation of the Seattle Center Monorail.